

Docket No.: 209650US0DIV

OBLON
SPIVAK
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A
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BC.

ATTORNEYS AT LAW

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

RE: Application Serial No.: 09/884,948

Applicants: Keiichi YOKOYAMA, et al.

Filing Date: June 21, 2001

For: PROCESS FOR PRODUCING MICROBIAL

TRANSGLUTAMINASE

Group Art Unit: 1653 Examiner: Bugaisky, G. E. Allowed: April 7, 2004

SIR:

Attached hereto for filing are the following papers:

Comments on Statement of Reasons for Allowance Copy of Date-stamped Filing Receipt dated June 21, 2001 Copy of Information Disclosure Statement filed June 21, 2001 Copy of Form PTO 1449 filed June 21, 2001

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Norman F. Oblon

Vincent K. Shier, Ph.D. Registration No. 50,552

Customer Number

22850

(703) 413-3000 (phone) (703) 413-2220 (fax) DOCKET NO: 209650US0DIV



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

KEIICHI YOKOYAMA, ET AL. : EXAMINER: BUGAISKY, G.E.

SERIAL NO: 09/884,948 : GROUP ART UNIT: 1653

FILED: JUNE 21, 2001 : ALLOWED: APRIL 7, 2004

FOR: PROCESS FOR PRODUCING MICROBIAL TRANSGLUTAMINASE:

COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

COMMISSIONER FOR PATENTS Alexandria, VA 22313-1450

SIR:

Responsive to the Notice of Allowance dated April 7, 2004, Applicants request that the Examiner provide acknowledgment that the references cited on the Information Disclosure Statement filed on June 21, 2001, have been considered. Applicants respectfully request that the Examiner acknowledge consideration of same by providing Applicants with an initialed copy of the Form PTO-1449 enclosed herewith listing these references. Accordingly, Applicants submit herewith a copy of the Information Disclosure Statement as filed on June 21, 2001, along with a copy of the date-stamped filing receipt evidencing the timely filing thereof.

Applicants submit that the references listed on the enclosed Form PTO-1449 were mentioned in the parent application U.S. 09/448,310, filed on November 24, 1999. Therefore, copies of the cited references may be obtained from the U.S. 09/448,310. In the event that the Examiner is unable to obtain these references, it is requested that the undersigned be contacted as soon as possible to avoid any further, unnecessary delay in examination.

An early and favorable indication that the Information Disclosure Statement has been considered is kindly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C. Norman F. Oblon

Vincent K. Shier, Ph.D.

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Customer Number

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Tel: (703) 413-3000 Fax: (703) 413-2220 (OSMMN 08/03) NFO:VKS Docket No.

209650US0DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 1 9 2004

IN RE APPLICATION OF:

Keiichi YOKOYAMA et al

SERIAL NO:

NEW U.S. APPLICATION

FILED:

HEREWITH

FOR:

PROCESS FOR PRODUCING MICROBIAL TRANSCLUTAMINASE

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449 were mentioned in parent application 09/448,310 filed November 24, 1999.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DON, SPIVAK, McCLELLAND, AIEP NEUSTADT, P.C.

Norman F. Oblon Registration No.

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Daniel J. Pereira, Ph.D.

Registration No.

45,518

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Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 10/98)

| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF PATENT AND TRADEM | COMMERCE MARK OFFICE | ATTY DOCKET NO. 209650USODIV | | SERIAL N | O. ew Application | |
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| 13/3 | 7 | <i>E</i> | | U.S. PATENT DOCUMENTS | | | | |
| EXAMINER INITIAL | TRAC | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE | |
| | AA | 5,736,356 | 04/07/98 | Sano et al. | | | | |
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| | | FOREIGN PATENT DOCUMENTS | | | | | | |
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| | AO | 0 481 504 | 04/22/92 | EUROPE | | | | |
| | AP | 64-27471 | 01/30/89 | JAPAN (English Abstract provided) | | | X | |
| | AQ | 1-50382 | 10/30/89 | JAPAN (English Abstract provided) | | | X | |
| | AR | 5-199883 | 08/10/93 | JAPAN (English Abstract provided) | | | × | |
| | AS | 6-30771 | 02/08/94 | JAPAN (English Abstract provided) | | <u> </u> | × | |
| | AT | WO 96/22366 | 07/25/96 | WIPO | | | | |
| | AU | WO 96/06931 | 03/07/96 | WIPO | | <u> </u> | | |
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | | |
| | Shino Takehana et al., "CHEMICAL SYNTHESIS OF THE GENE FOR MICROBIAL TRANSGLUTAMINASE FROM STREPTOVERTICILLIUM AND ITS EXPRESSION IN ESCHERICHIA COLF, Biosci. Biotech. Biochem., Vol. 58, No. 1, pp. 88-92, 1994. | | | | | | | |
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| | AZ | Roohaida Othman et al., "HUMAN NON-PANCREATIC (GROUP II) SECRETED PHOSPHOLIPASE A₂ EXPRESSED FROM A SYNTHETIC GENE IN <i>ESCHERICHIA COLI</i> : CHARACTERISATION OF N-TERMINAL MUTANTS", Biochem. Biphys. Acta, Vol. 1303, No. 2, pp. 92-102, 1996. | | | | | | |
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| | Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

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| Form PTO 1449 (Modified) | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | 209650USODIV | New Application | | | | |
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| SIPE | | APPLICANT | | | | | |
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| TRAD | Koji Ikura et al., "AMINO ACID SEQUENCE OF GUINEA PIG LIVER TRANSGLUTAMINASE FORM ITS cDNA SEQUENCE", Biochemistry, Vol 27, pp. 2898-2905, 1988. | | | | | | |
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| AAC | Akitada Ichinose et al, "AMINO ACID pp. 6900-6906, 1986. | SEQUENCE OF THE A SUBUNIT OF HU | MAN FACTOR XIII", Biochemistry, Vol. 25, | | | | |
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| conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |